



CROESUS IBALANCE

Ensure compliance using Croesus iBalance

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| PROTECT YOUR CLIENTS' ASSETS

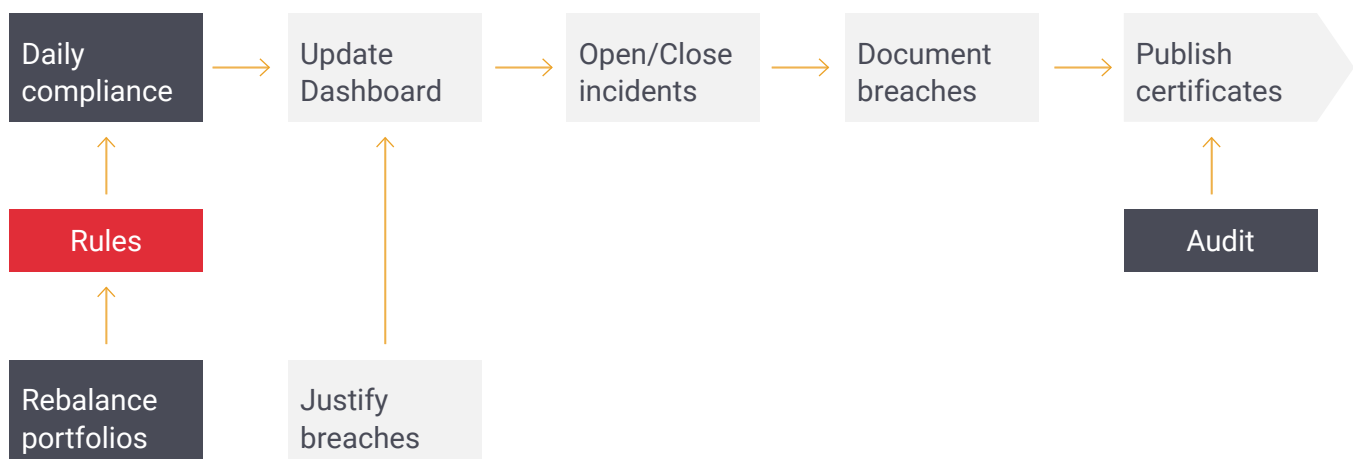
Managing compliance on a daily basis for hundreds of client portfolios has become a daunting task, especially with the increasing regulatory oversight and requests for evidence of proper management. Portfolios must be verified daily for any rules or constraints (called restrictions in iBalance) that have been violated and which must be assigned for resolution, documented, and reported.

Croesus iBalance allows you to choose restrictions from a comprehensive library and apply them at different levels (firm, account type, household, client, account, model). Each restriction can be assigned a severity level. On a compliance check, our sophisticated tool will provide detailed information about breaches and related indicators, such as severity and impact.

| KEY BENEFITS

Fully integrated rebalancing solution	Pre-trade, post-trade and continuous monitoring
Dashboard summary and drill-down capabilities	Restrictions Library
Compliance certificate	Fulfillment of chief compliance officer (CCO) regulatory requirements

| WORKFLOWS



| FOLLOW THE RULES

Croesus iBalance has a built-in Dashboard that can be fully customized, enabling you to globally supervise and monitor compliance. Issues are automatically filtered, documented, and reported in a concise fashion so that you can prioritize them and resolve critical issues quickly.

To speed up implementation, Croesus iBalance provides a Library of over 100 sets and packages of predefined rules. Packages of rules can be referenced by individual or multiple portfolios and used dynamically. Managers can easily add restrictions to the Library by using Croesus iBalance's intuitive and extensive rule-writing functionality. The types of restrictions that can be accommodated are unlimited.

| CUSTOMIZE THE RULES

Croesus iBalance is a rules-based system. Users can easily and quickly create new restrictions for general use or tailored for an individual client, using the intuitive and extensive rule writing functionality.

About Croesus

Since 1987, Croesus has been providing sophisticated wealth management solutions to financial institutions throughout North America. Currently, 14,000 investment professionals use Croesus solutions to manage over \$1 trillion in assets. With its 180 dedicated employees, Croesus has built a reputation on the ability to efficiently transform data into information, develop portfolio management solutions to drive efficiency and deliver business intelligence for fact-based decision-making.

