

CROESUS ADVISOR CX

Experience the Difference

MODULE ENHANCEMENTS

MANAGE UMA ACCOUNTS EFFICIENTLY

With the increased use of unified managed account (UMA) strategies to manage assets, advisors must be equipped with the necessary tools to efficiently handle these accounts. Our optimized sleeve management system now provides even more flexibility and customization options.

- Create sleeve templates of the most commonly used portfolio strategies
- Assign sleeve templates to single or multiple accounts, easily and efficiently
- Validate the sleeve templates that are applied to non-discretionary accounts
- Find, view and modify accounts using sleeves that are assigned through a specific template

FEATURE UPGRADES

ADHERE TO NEW REQUIREMENTS

As regulations continue to progress, Croesus ensures the consistent integration of the newest requirements for asset management. Croesus Advisor CX is our response to IIROC's Notice 17-0039, regarding *Amendments Respecting Designations and Identifiers*. This software provides the new bundled-order designation as well as the logic required for identifying pro-accounts and flagging client and non-client accounts and orders.

PROVIDE ENHANCED REPORTING

Reporting packages can now be even more customized. When generating a report package for a group of accounts, it is now possible for the Account Details table to appear only once in the Document Summary report, thereby providing a more streamlined package.

DOCUMENT SUMMARY (CAD)		As of January 25, 2018		
Famille Smith (0185A)		R. Reagan, F. Roosevelt, H. Truman		
YOU WILL FIND ENCLOSED THE FOLLOWING DOCUMENTS:				
ACCOUNT DETAILS				
Account Number	Account Type	Name	Currency	Market Value (CAD)
800007-FS	Retirement Savings Plan	BRUCE SMITH	CAD	517,832.08
800007-NA	Cash	BRUCE SMITH	CAD	1,226,317.88
800008-W	Spousal - Retirement Income Funds	ANNEKE CHADLON	CAD	5,570.67
800008-NA	Cash	ANNEKE CHADLON	CAD	382,881.89
800008-OB	Cash	ANNEKE CHADLON	USD	836,131.41
800294-GT	Retirement Income Funds	ADRIAN CHATEAUNEUF	CAD	494,706.26
800294-SE	Margin Long	ADRIAN CHATEAUNEUF	CAD	41.45
800294-SF	Margin Long	ADRIAN CHATEAUNEUF	CAD	2,497.15



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1 / 1

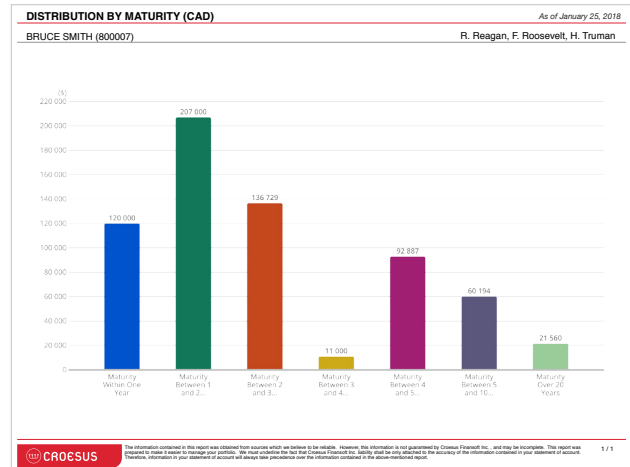
PORTFOLIO PERFORMANCE (CAD)		As of January 25, 2018				
BRUCE SMITH (800007-FS)		R. Reagan, F. Roosevelt, H. Truman				
CHANGES IN MARKET VALUE						
	3 MONTHS	6 MONTHS	1 YEAR	3 YEARS	SINCE INCEPTION	
Starting Value	\$ 512,703.16	\$ 503,964.32	\$ 469,413.30	\$ 448,077.96	\$ 0.00	
Income	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 278.24	
Cash Injection	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 278,675.18	
Transfers In	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
Inter-account Transfers	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
Outflows	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ -2,000.00	
Cash Withdrawals	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ -2,000.00	
Transfer Out	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
Inter-account Transfers	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
Change in Market Value	\$ 8,723.24	\$ 17,462.10	\$ 53,013.12	\$ 13,148.46	\$ 244,751.18	
Income	\$ 9,423.82	\$ 12,047.23	\$ 18,000.41	\$ 51,610.25	\$ 163,210.91	
Interest	\$ 7,646.93	\$ 9,484.68	\$ 11,318.78	\$ 27,607.86	\$ 104,652.42	
Dividends	\$ 1,776.89	\$ 2,562.55	\$ 6,681.63	\$ 24,002.39	\$ 58,558.49	
Change in Accrued Income	\$ -3,944.77	\$ -2,085.50	\$ -1,531.15	\$ 1,114.46	\$ 3,944.24	
Investment Management Fees	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	\$ 0.00	
Change in Position Value	\$ 1,124.47	\$ 4,977.54	\$ 32,782.96	\$ 3,939.02	\$ 77,861.94	
Ending Value	\$ 521,426.42	\$ 521,426.42	\$ 521,426.42	\$ 521,426.42	\$ 521,426.42	



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1 / 2

In order to maintain consistency with the CRM2 requirements, the market value variation has been modified in the Portfolio Performance Detailed report.



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1 / 1

The appearance of the charts, graphs and legends has been standardized so as to enhance report readability for clients.

INCREASED ACCESSIBILITY

As a first step towards compliance with rule WCAG 2.0 level AA, Croesus Advisor has been enhanced to improve accessibility:

- Windows' high-contrast mode is used to improve the color contrast between elements.
- Navigation between fields is improved to eliminate the need for a mouse and to support screen readers.
- Text to Speech (TTS) technology is used in fields where labels are not in the user's language.



ENSURE A SEAMLESS INTEGRATION

The integration of Croesus Advisor and Kronos (a third-party provider of client-relationship-management solutions) is now viable and can allow for the seamless transfer of client information between applications.